Math 279 Lecture 18 Notes

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1 Proving the Bounds in Hairer's Reconstruction Theorem

1.1 Recap: Constructing a candidate in Hairer's reconstruction theorem

Theorem 1.1 (Hairer's reconstruction theorem). Let F be γ -coherent. Then there exists a distribution $T = \mathcal{R}(F)$ such that

$$|(T - F_x)(\psi_x^{\delta})| \lesssim \begin{cases} \delta^{\gamma} & \gamma \neq 0, \\ \log \frac{1}{\delta} & \gamma = 0. \end{cases}$$

Here, the bound is uniform over $x \in K$, $\delta \in (0,1]$, $\psi \in \mathcal{D}$ with $\|\psi\|_{C^r} \leq 1$.

We want to think of T as the value of some continuous operator \mathcal{R} . So far, we have a candidate for T when $\gamma > 0$. Here is an overview of what we have seen so far. We start from $\varphi \in \mathcal{D}$ such that $\int \varphi = 1$ and $\int \varphi x^k dx = 0$ for 0 < |k| < r. From this φ , we constructed a suitable test function ρ of the form $\rho = \eta * \varphi$ with $\eta = \varphi^2$ and $\rho^{1/2} - \rho = \zeta * \varphi$, where $\zeta = \varphi^{1/2} - \varphi^2$. Recall that

$$\varphi^{\delta}(x) = \delta^{-d}\varphi(x/\delta), \qquad \varphi^{\delta}_a(x) = \delta^{-d}\varphi((x-a)/\delta), \qquad \varphi_a(x) = \varphi(x-a).$$

Observe that since $\int \zeta = 0$, we have $\int \zeta P \, dx = 0$ for any polynomial P of degree at most r-1.

Here is the idea behind the construction of T: Indeed if we define convolution by

$$(T * \phi)(X) = T(\widetilde{\phi}_x), \qquad \widetilde{\phi}(z) = \phi(-z),$$

and if $\int \phi = 1$, it can be shown that $\lim_{\delta \to 0} T * \phi^{\delta} = T$. Recall that $\widehat{\rho}_x^n(y) = 2^{dn} \rho(2^n(y - x)) = \rho_x^{2^{-n}}(y)$, and since

$$\lim_{n \to \infty} T(\hat{\rho}_x^n) = T,$$

from this we guess tat a good approximation for T satisfying the theorem is simply

$$T_n(x) = F_x(\widehat{\rho}_x^n).$$

Last time, we showed that indeed $T_n(x)$ converges when $\gamma > 0$, where convergence means that for any $\psi \in \mathcal{D}$, $\lim_n \langle T_n(x), \psi \rangle$ exists. The very form of ρ allows us to have the following representation:

$$T_n = T_1 + \sum_{k=1}^{n-1} (T_{k+1} - T_k),$$

where

$$T_{k+1}(x) - T_k(x) = F_x(\widehat{m}_x^k) = \int F_x(\widehat{\varphi}_y^k)\widehat{\zeta}_x^k(y) \, dy, \qquad m = \rho^{1/2} - \rho.$$

We can write this as

$$T_{k+1}(x) - T_k(x) = \underbrace{\int (F_x - F_y)(\widehat{\varphi}_y^k)\widehat{\xi}_x^k(y) \, dy}_{B_k} + \underbrace{\int F_y(\widehat{\varphi}_y^k)\widehat{\zeta}_x^k(y) \, dy}_{A_k}.$$

Last time, we showed that $\sum_k A_k$ converges no matter γ is. However, the bound for the second term is $|B_k| \leq 2^{-\gamma k}$, so for B_k , we get a pointwise bound that would imply the pointwise convergence only when $\gamma > 0$. In fact, when $\gamma \leq 0$, our candidate for T is $\lim_{n\to\infty} T_1 + \sum_{k=1}^{n-1} A_k = T_1 + \sum_{k=1}^{\infty} A_k$.

1.2 Proof of the bounds in the reconstruction theorem

We now try to prove that $(T - F_a)(\psi_a^{\delta}) \leq \delta^{\gamma}$. Here, *a* is fixed. We first focus on the case of $\gamma \leq 0$. Again, our *T* is the limit of $S_n = T_1 + \sum_{k=1}^{n-1} A_k$. To compare this with F_a , observe that

$$F_a = \lim_{n \to \infty} F_a(\hat{\rho}^n).$$

That is,

$$F_a(\psi) = \lim_{n \to \infty} \int F_a(\widehat{\rho}_x^n) \psi(x) \, dx$$

In the same manner, we may write

$$F_a = G_1 + \sum_{k=1}^{\infty} (G_{k+1} - G_k), \qquad G_k(x) := F_a(\hat{\rho}_x^k).$$

Also, we may find

$$\Gamma_n(x) = G_1(x) + \sum_{k=1}^{n-1} (G_{k+1}(x) - G_k(x)),$$

so that $\lim_{n\to\infty} \Gamma_n = F_a$. We wish to compare Γ_n to $S_n = T_1 + \sum_{k=1}^{n-1} A_k$. Observe that

$$C_k(x) = G_{k+1}(x) - G_k(x)$$

$$= F_a(\widehat{\rho}_x^{k+1} - \widehat{\rho}_x^k)$$

= $F_a(\widehat{m}_x^k)$
= $\int F_a(\widehat{\varphi}_y^k)\widehat{\zeta}_x^k(y) \, dy$.

We wish to estimate

$$\begin{aligned} |\langle A_k(x) - C_k(x), \psi_a^{\delta} \rangle| &= \left| \iint (F_y - F_a)(\widehat{\varphi}_y^k) \zeta_x^k(y) \, dy \, \psi_a^{\delta}(x) \, dx \right| \\ &= \left| \int (F_y - F_a)(\widehat{\varphi}_y^k) (\widehat{\zeta}^k * \psi_a^{\delta})(y) \, dy \right| \\ &\lesssim \int 2^{k\tau} (|y - a| + 2^{-k})^{\gamma + \tau} |(\zeta^k * \psi_a^{\delta})(y)| \, dy \end{aligned}$$

As a warmup, observe that we have the bound

$$\leq 2^{k\tau} (\delta + 2^{-k+1})^{\gamma + \tau} \|\psi^k * \psi^\delta_a\|_{L^1} \leq 2^{k\tau} (\delta + 2^{-k+1})^{\gamma + \tau} \|\zeta\|_{L^1} \|\psi\|_{L^1}$$

Hence, if $\gamma < 0$,

$$\sum_{k:2^{-k} \ge \delta} |\langle A_k - C_k, \psi_a^{\delta} \rangle| \lesssim \sum_{k:2^{-k} \ge \delta} 2^{-k\gamma}$$
$$= \sum_{k \le |\log \delta|} 2^{-k\gamma}$$
$$= \begin{cases} |\log \delta| & \gamma = 0\\ (2^{-\gamma})^{|\log \delta|} = \delta^{\gamma} & \gamma < 0. \end{cases}$$

Next, we concentrate on $\sum_{k:2^{-k} < \delta} |\langle A_k - C_k, \psi_a^{\delta} \rangle|$. To control this, we need a better estimate on $(\widehat{\zeta}^k * \psi_a^{\delta})(y)$, which has a support contained in $B_a(2^{-k+\delta})$. Recall that $\int \zeta P = 0$ for any polynomial P of degree < r. Now

$$\int \widehat{\zeta}^k(y) \psi_a^{\delta}(x) \, dx = \int \widehat{\zeta}^k_x(y) (\psi_a^{\delta}(x) - P_y(x)) \, dx,$$

where $P_y(x)$ is the Taylor polynomial of ψ_a^{δ} at y of degree r-1. Hence,

$$\begin{split} \left| \int \widehat{\zeta}^k(y) \psi_a^{\delta}(x) \, dx \right| &\lesssim \int |y - x|^r \|\psi_a^{\delta}\|_{C^r} \|\widehat{\xi}^k_x(y)\| \, dx \\ &\lesssim 2^{-kr} \|\psi_a^{\delta}\|_{C^r} \\ &\lesssim 2^{-kr} \delta^{-d-r} \|\psi\|_{C^r}. \end{split}$$

Hence,

$$|\langle A_k - C_k, \psi_a^{\delta} \rangle| \lesssim 2^{k\tau} (\delta + 2^{-k+1})^{\gamma + \tau} 2^{-kr} \delta^{-d-r} \underbrace{(2^{-k} + \delta)^d}_{\text{volume of } B_a(2^{-k} + \delta)}.$$

Thus,

$$\sum_{\substack{k:2^{-k} \leq \delta}} |\langle A_k - C_k, \psi_a^\delta \rangle| \lesssim \sum_{\substack{k:2^{-k} \leq \delta}} 2^{-k(r-\tau)} \delta^{\gamma+\tau-r}$$

Provided $r > \tau$, we get
$$\lesssim \delta^{r-\tau} \delta^{\gamma+\tau-r}$$
$$= \delta^{\gamma}.$$

This completes the proof when $\gamma \leq 0$.

How about when $\gamma > 0$? We already know that the tail

$$\sum_{k:2^{-k}\leq\delta} |\langle A_k - C_k, \psi_a^\delta \rangle| \lesssim \delta^{\gamma}.$$

We now argue that

$$\sum_{k:2^{-k}\leq \delta} |\langle B_k, \psi_a^\delta\rangle| \lesssim \delta^\gamma$$

when $\gamma > 0$. Observe that

$$\begin{aligned} |\langle B_k, \psi_a^\delta \rangle| &= \left| \iint (F_x - F_y)(\widehat{\varphi}_y^k) \widehat{\zeta}_x^k(y) \, dy \, \psi_a^\delta(x) \, dx \right| \\ &\lesssim \left| \int 2^{k\tau} (|x - y| + 2^{-k})^{\gamma + \tau} \widehat{\zeta}_x^k(y) \, dy \, \psi_a^\delta(x) \, dx \right| \\ &\lesssim 2^{-k\gamma} \|\zeta\|_{L^1} \|\psi\|_{L^1}. \end{aligned}$$

Hence,

$$\sum_{k:2^{-k} \leq \delta} |\langle B_k, \psi_a^{\delta} \rangle| \lesssim \sum_{k:2^{-k} \leq \delta} 2^{-k\gamma} \lesssim \delta^{\gamma}.$$